



INFO NOTE ON THE PERSONAL DATA PROCESSING
(RECORDING OF PHONE CALLS FOR STOCK ORDERS)

Data controller

To comply with the legislation on personal data protection, Banca UBAE SpA, with registered office in Via Quintino Sella, 2 - 00187 Rome, as Data Controller, informs you on the use of personal data provided by you directly, and the rights of Law. You can contact the Data Protection Officer (DPO) at the aforesaid address or at the following email address: dpo@ubae.it

Aims and legal bases of processing

Personal data, voice recordings of inbound and outbound phone calls where processing of Stock orders transactions are carried out by phone, will be processed for the aims to comply with the obligations provided for by UE laws, regulations and norms, and in particular, the Consob Regulation implementing the L.D. 24 February No. 58¹ regarding the ruling of financial intermediaries; in this case the juridical base of the processing is represented by such obligations and the consent to the processing is not necessary.

Data storage

Personal data are kept for the period of time envisaged by the aforesaid Consob Regulation (five years), after which they are deleted.

Data communication

For the carrying out of the activities necessary for the pursuit of the aforesaid purpose, Banca UBAE notifies communications, in addition to those required by law, to external subjects (recipients) belonging to the following categories:

-companies managing IT networks and systems (*outsourcer*).

These subjects act as autonomous data controllers, unless they have been appointed by Banca UBAE as Data processor for the processing in their competence. Their list is constantly updated and accessible by accessing the website www.bancaubae.it in the Privacy section.

Personal data may be known by employees and other authorized collaborators and persons in charge of data processing, who are also temporarily assigned to the Finance Division and the functions responsible for internal control.

Personal data are not disseminated and are not sent abroad, in non-EU countries.

Processing methods

The processing of personal data is made by means of IT, electronic and manual tools, with logics strictly related to the same purposes and, in any case, in order to guarantee compliance with the principles of personal data protection.

Exercise of the rights of the data subject

You have the right, at any time, to obtain confirmation that personal data processing is in progress or not, to access such data and to know their content and origin, verify the accuracy or request the data integration or updating, or correction. In addition, you have the right to request the deletion, limitation of processing, data portability and to file a complaint with the Supervisory Authority and to oppose in any case, for legitimate reasons, the processing of data. The aforesaid rights can be exerted for the aforesaid purposes.

BANCA UBAE

¹ See adopted by Consob with Resolution 16190/2007 and subsequent amendments.